Serial No.: 10/723.431 Filed

: November 26, 2003

Page : 2 of 15

Claims

1. (Currently Amended) A method of forming gradient loaded liposomes, the method comprising:

- (a) contacting liposomes in an aqueous solution of at least about 60 mM of an acid with a pharmaceutical agent selected from an anthracycline chemotherapeutic agent, an anthracenedione, and a vinca alkaloid, at a temperature wherein the protonated form of the pharmaceutical agent is charged and is not capable of permeating the membrane of the liposomes, and wherein the unprotonated form of the pharmaceutical agent is uncharged and is capable of permeating the membrane of the liposomes;
- (b) actively loading the liposomes with the pharmaceutical agent by raising the pH of the solution to 5.0 or above:
- (c) cooling the solution to a temperature at which the unprotonated form of the pharmaceutical agent is not capable of permeating the membrane of the liposomes; and
- (d) contacting the solution with a weak base that is an ammonium salt or an alkyl amine, in an amount effective to raise the pH of the internal liposome to provide gradient loaded liposomes.
- 2. (Original) The method of claim 1 wherein the liposomes comprise phosphatidylcholine.
- 3. (Previously Presented) The method of claim 1 wherein the liposomes comprise phosphatidylcholine selected from the group of distearoylphosphatidylcholine, hydrogenated soy phosphatidylcholine, hydrogenated egg phosphatidylcholine, dipalmitoylphosphatidylcholine, dimyristoylphosphatidylcholine, and dielaidoyl phosphatidyl choline.
- 4. (Original) The method of claim 1 wherein the liposomes further comprise cholesterol.
- 5 (Original) The method of claim 1 wherein the liposomes further comprise phosphatidylglycerol.

Serial No.: 10/723,431 Filed: November 26, 2003

Page : 3 of 15

 (Original) The method of claim 1 wherein the liposomes further comprise nonphosphatidyl lipids.

- (Original) The method of claim 6 wherein the non-phosphatidyl lipids comprise sphingomyelin.
- (Original) The method of claim 1 wherein the liposomes further comprise
 phosphatidylglycerol selected from the group of dimyristoylphosphatidylglycerol,
 dilaurylphosphatidylglycerol, dipalmitoylphosphatidylglycerol, and
 distearoylphosphatidylglycerol.
- (Original) The method of claim 1 wherein the liposomes comprises phosphatidylcholine, and further comprises cholesterol.
- 10. (Original) The method of claim 1 wherein the liposomes comprises phosphatidylcholine, and further comprises cholesterol, wherein the molar ratio of the phosphatidylcholine to the cholesterol is about 1:0.01 to about 1:1.
- 11. (Original) The method of claim 1 wherein the liposomes comprises phosphatidylcholine, and further comprises cholesterol, wherein the molar ratio of the phosphatidylcholine to the cholesterol is about 1.5:1.0 to about 3.0:1.0.
- (Original) The method of claim 1 wherein the liposomes are unilamellar and less than about 100nm.
- 13. (Original) The method of claim 1 wherein the weight ratio of the liposomes to the pharmaceutical agent is up to about 200:1.
- 14. (Original) The method of claim 1 wherein the weight ratio of the liposomes to the pharmaceutical agent is about 1:1 to about 100:1.

Serial No.: 10/723,431 Filed: November 26, 2003

Page : 4 of 15

15. (Original) The method of claim 1 wherein the weight ratio of the liposomes to the pharmaceutical agent is about 1:1 to about 50:1.

16. (Original) The method of claim 1 wherein the acid has an acid dissociation constant of

less than about 1×10^{-2} .

17. (Original) The method of claim 1 wherein the acid has an acid dissociation constant of

less than about 1 x 10⁻⁴.

18. (Original) The method of claim 1 wherein the acid has an acid dissociation constant of

less than about 1 x 10⁻⁵.

19. (Original) The method of claim 1 wherein the acid has a permeability coefficient larger

than about 1 x 10⁻⁴ cm/sec for the liposomes.

20. (Original) The method of claim 1 wherein the acid is selected from the group of formic

acid, acetic acid, propanoic acid, butanoic acid, pentanoic acid, citric acid, oxalic acid, succinic acid, lactic acid, malic acid, tartaric acid, fumaric acid, benzoic acid, aconitic acid, veratric acid,

phosphoric acid, sulfuric acid, and combinations thereof.

21. (Original) The method of claim 1 wherein the acid is citric acid.

22. (Original) The method in claim 1 wherein at least about 100 mM of an acid is employed.

23. (Original) The method of claim 1 wherein the pharmaceutical agent exists in a charged

state when dissolved in an aqueous medium.

(Original) The method of claim 1 wherein the pharmaceutical agent is an organic

compound that includes at least one acyclic or cyclic amino group, capable of being protonated.

Applicant: Ning Hu et al. Attorney's Docket No.: 01992.007US1
Serial No.: 10/723.431

Filed : November 26, 2003

Page : 5 of 15

25. (Original) The method of claim 1 wherein the pharmaceutical agent is an organic compound that includes at least one primary amine group, at least one secondary amine group, at

least one tertiary amine group, at least one quaternary amine group, or any combination thereof.

26. (Original) The method of claim 1 wherein the pharmaceutical agent is an antineoplastic

agent.

27. (Original) The method of claim 1 wherein the pharmaceutical agent is a combination of

two or more antineoplastic agents.

28. (Original) The method of claim 1 wherein the pharmaceutical agent is an ionizable basic

antineoplastic agent.

29. (Canceled)

30. (Currently Amended) The method of claim 29 1 wherein the anthracycline

chemotherapeutic agent is selected from the group of doxorubicin, epirubicin, and daunorubicin.

31. (Currently Amended) The method of claim 29 1 wherein the anthracenedione is

mitoxantrone.

32. (Canceled)

33. (Currently Amended) The method of claim 29 1 wherein the vinca alkaloid is selected

from the group of vincristine and vinblastine.

34-39. (Canceled)

40. (Original) The method of claim 1 wherein the temperature in step (a) is about 40°C to

about 70°C.

Serial No.: 10/723,431 Filed: November 26, 2003

Page : 6 of 15

 (Original) The method of claim 1 wherein the temperature in step (a) is about 50°C to about 60°C.

42. (Previously Presented) The method of claim 1 wherein the solution is cooled in step (c) to a temperature of about 0°C to about 30°C.

43-46. (Canceled)

- 47. (Original) The method of claim 1 wherein the weak base is an ammonium salt having a mono- or multi-valent counterion.
- 48. (Original) The method of claim 1 wherein the weak base is selected from the group of ammonium sulfate, ammonium hydroxide, ammonium acetate, ammonium chloride, ammonium phosphate, ammonium citrate, ammonium succinate, ammonium lactobionate, ammonium carbonate, ammonium tartarate, ammonium oxalate, and combinations thereof.
- 49. (Original) The method of claim 1 wherein the weak base is alkyl-amine selected from the group of methyl amine, ethyl amine, diethyl amine, ethylene diamine, and propyl amine.
- (Previously Presented) The method of claim 1 further comprising, during or after step
 (d), removing any unloaded pharmaceutical agent.
- 51. (Original) The method of claim 50 wherein the removing of the unloaded drug employs removing the unloaded drug via cross filtration or dialysis.
- (Previously Presented) The method of claim 1 further comprising, after step (d), dehydrating the liposomes.
- 53. (Original) The method of claim 52 wherein the dehydrating is carried out at a pressure of below about 1 atm.

Serial No.: 10/723,431 Filed: November 26, 2003

Page : 7 of 15

54. (Original) The method of claim 52 wherein the dehydrating is carried out with prior freezing of the liposomes.

- 55. (Original) The method of claim 52 wherein the dehydrating is carried out in the presence of one or more protective monosaccharide sugars, one or more protective disaccharide sugars, or a combination thereof.
- 56. (Original) The method of claim 55 wherein the protective sugar is selected from the group of trehalose, sucrose, maltose, and lactose.
- 57. (Original) The method of claim 52 further comprising rehydrating the liposomes after the dehydrating.
- 58. (Original) The method of claim 1 wherein the liposomes are unilamellar vescicles.
- 59. (Original) The method of claim 1 wherein the liposomes are multilamellar vescicles.
- 60. (Original) The method of claim 1 wherein more than about 90 wt.% of the pharmaceutical agent is trapped in the liposomes.
- 61. (Previously Presented) The method of claim 1 further comprising, after step (d), contacting the liposomes with a pharmaceutically acceptable carrier.
- (Previously Presented) The method of claim 1 wherein the acid is present in at least about 200 mM.
- 63. (Presently Amended)) A method for preparing a pharmaceutical composition comprising:
- (a) contacting liposomes in an aqueous solution of at least about 60 mM of an acid with a pharmaceutical agent <u>selected from an anthracycline chemotherapeutic agent</u>, an <u>anthracenedione</u>, and a vinca alkaloid, at a temperature wherein the protonated form of the

Serial No.: 10/723,431 Filed: November 26, 2003

Page : 8 of 15

pharmaceutical agent is charged and is not capable of permeating the membrane of the liposomes, and wherein the unprotonated form of the pharmaceutical agent is uncharged and is capable of permeating the membrane of the liposomes;

- (b) actively loading the liposomes with the pharmaceutical agent by raising the pH of the solution to 5.0 or above;
- (c) cooling the solution to a temperature at which the unprotonated form of the pharmaceutical agent is not capable of permeating the membrane of the liposomes;
- (d) contacting the solution with a weak base that is an ammonium salt or an alkyl amine, in an amount effective to raise the pH of the internal liposome to provide gradient loaded liposomes; and
- (e) combining the gradient loaded liposomes with a pharmaceutically acceptable carrier to provide the pharmaceutical composition.
- 64. (Original) A method comprising administering the pharmaceutical composition of claim 63 to a mammal.
- 65. (Original) A method for treating a mammal inflicted with cancer, the method comprising administering the pharmaceutical composition of claim 63 to the mammal, wherein the pharmaceutical agent is an antineoplastic agent.
- 66. (Original) The method of claim 65 wherein the cancer is a tumor, ovarian cancer, small cell lung cancer (SCLC), non small cell lung cancer (NSCLC), leukemia, sarcoma, colorectal cancer, head cancer, neck cancer, or breast cancer.
- 67. (Original) The method of claim 65 wherein the administration of the antineoplastic agent, *via* the liposomal formulation, has a toxicity profile that is lower than the toxicity profile associated with the administration of the antineoplastic agent in the free form.
- 68. (Original) The method of claim 67 wherein the toxicity is selected from the group of gastrointestinal toxicity and cumulative dose-dependent irreversible cardiomyopathy.

Serial No.: 10/723,431 Filed: November 26, 2003

Page : 9 of 15

69. (Original) The method of claim 65 wherein the administration of the antineoplastic agent has unpleasant side-effects that are lower in incidence, severity, or a combination thereof, than unpleasant side-effects associated with the administration of the antineoplastic agent in the free form.

- 70. (Original) The method of claim 69 wherein the unpleasant side-effects are selected from the group of myelosuppression, alopecia, mucositis, nausea, vomiting, and anorexia.
- 71. (Presently Amended) A gradient loaded liposome prepared by the process comprising:
- (a) contacting liposomes in an aqueous solution of at least about 60 mM of an acid with a pharmaceutical agent selected from an anthracycline chemotherapeutic agent, an anthracenedione, and a vinca alkaloid, at a temperature wherein the protonated form of the pharmaceutical agent is charged and is not capable of permeating the membrane of the liposomes, and wherein the unprotonated form of the pharmaceutical agent is uncharged and is capable of permeating the membrane of the liposomes:
- (b) actively loading the liposomes with the pharmaceutical agent by raising the pH of the solution to 5.0 or above;
- (c) cooling the solution to a temperature at which the unprotonated form of the pharmaceutical agent is not capable of permeating the membrane of the liposomes; and
- (d) contacting the solution with a weak base that is an ammonium salt or an alkyl amine, in an amount effective to raise the pH of the internal liposome to provide gradient loaded liposomes.